

FORM PTO-1390  
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

12243.15USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

Unknown 09/701315

INTERNATIONAL APPLICATION NO.

PCT/CA99/00550

INTERNATIONAL FILING DATE

June 10, 1999

PRIORITY DATE CLAIMED

June 10, 1998

TITLE OF INVENTION

DIRECTED COMBINATORIAL COMPOUND LIBRARY AND HIGH THROUGHPUT ASSAYS FOR SCREENING SAME

APPLICANT(S) FOR DO/EO/US

CARVER et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Preliminary Examination Report; courtesy copy of PCT/CA99/00550 application and publication page; 17 claims; 12 drawing pages; Form 1449; International Search Report; 2 references

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown <b>09/701315</b>		INTERNATIONAL APPLICATION NO. PCT/CA99/00550		ATTORNEY'S DOCKET NUMBER 243.15USWO	
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17. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY		
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b> Search Report has been prepared by the EPO or JPO.....\$860.00  International preliminary examination fee paid to U.S. Patent and Trademark Office (37 CFR 1.492(a)(1)).....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO.....\$1000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00						
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>						
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	17                      -20 =	0	X \$18.00			
Independent claims	6                                -3 =	3	X \$80.00	\$240		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,100.00		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$550.00		
<b>SUBTOTAL =</b>				\$550.00		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0		
<b>TOTAL NATIONAL FEE =</b>				\$550.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$0		
<b>TOTAL FEES ENCLOSED =</b>				\$550.00		
				<b>Amount to be:</b>		
				refunded	\$	
				charged	\$	


a. ☒ Check(s) in the amount of \$550.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-2725.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
 Douglas P. Mueller  
 MERCHANT & GOULD  
 P.O. Box 2903  
 Minneapolis, MN 55402-0903

SIGNATURE:   
 NAME: Douglas P. Mueller

REGISTRATION NUMBER 30,300

528 Rec'd PCT/PTO 28 NOV 2000

Applicant: CARVER et al.

Docket: 12243.15USWO

Title: DIRECTED COMBINATORIAL COMPOUND LIBRARY AND HIGH THROUGHPUT ASSAYS FOR SCREENING SAME

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL658339978US

Date of Deposit: November 28, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: 

Name: Chatia Lambert

## BOX PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 36 pgs; 17 claims  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 12 sheets of formal drawings
- ☒ Applicant hereby declares small entity status
- ☒ An unsigned Combined Declaration and Power of Attorney
- ☒ A check in the amount of \$550.00 to cover the Filing Fee
- ☒ Other: Preliminary Amendment and attached Abstract; International Preliminary Examination Report; courtesy copy of PCT/CA99/00550 publication page; Information Disclosure Statement; Form 1449; EPO International Search Report; 2 references
- ☒ Return postcard

## CLAIMS AS FILED

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee								\$430.00
Total Claims								
17	-	20	=	0	x	9.00	=	\$0.00
Independent Claims								
6	-	3	=	3	x	40.00	=	\$120.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$550.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.

P.O. Box 2903, Minneapolis, MN 55402-0903  
(612) 332-5300

By: 

Name: Douglas P. Mueller

Reg. No.: 30,300

Initials: DPM:jjw



23552

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)